

# Pleistocene desiccation in East Africa bottlenecked but did not extirpate the adaptive radiation of Lake Victoria haplochromine cichlid fishes

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The Great Lakes region of East Africa, including Lake Victoria, is the center of diversity of the mega-diverse cichlid fishes (Perciformes: Teleostei). Paleolimnological evidence indicates dramatic desiccation of this lake ca. 18,000–15,000 years ago. Consequently, the hundreds of extant endemic haplochromine species in the lake must have either evolved since then or refugia must have existed, within that lake basin or elsewhere, from which Lake Victoria was recolonized. We studied the population history of the Lake Victoria region superflock (LVRS) of haplochromine cichlids based on nuclear genetic analysis (12 microsatellite loci from 400 haplochromines) of populations from Lake Kivu, Lake Victoria, and the connected and surrounding rivers and lakes. Population genetic analyses confirmed that Lake Kivu haplochromines colonized Lake Victoria. Coalescent analyses show a 30- to 50-fold decline in the haplochromine populations of Lake Victoria, Lake Kivu, and the region ca. 18,000–15,000 years ago. We suggest that this coincides with drastic climatic and geological changes in the late Pleistocene. The most recent common ancestor of the Lake Victoria region haplochromines was estimated to have existed about 4.5 million years ago, which corresponds to the first radiation of cichlids in Lake Tanganyika and the origin of the tribe Haplochromini. This relatively old evolutionary origin may explain the high levels of polymorphism still found in modern haplochromines. This degree of polymorphism might have acted as a “genetic reservoir” that permitted the explosive radiation of hundreds of haplochromines and their array of contemporary adaptive morphologies.

Bayesian statistics | haplochromine cichlids | Lake Victoria region superflock | microsatellites | population genetic structure

The species flock of more than 500 endemic species of Lake Victoria haplochromine cichlid fishes is believed to have arisen faster than any other group of species. Yet, the mechanisms of speciation by which they arose (e.g., 1–5) and the age of the adaptive radiation (e.g., 6–9) are still debated vigorously. Knowledge about both age and evolutionary history are important because the amazing variety of body shapes, assortment of coloration, behavioral diversity, and degree of ecological specialization have made African haplochromine cichlids a prime example for the study of evolution generally and adaptive radiations specifically (e.g., 10–15).

The family of cichlid fishes (Perciformes: Teleostei) is one of the most species rich groups of vertebrates, and the center of this diversity is in the Great Lakes of East Africa: Malawi, Tanganyika, and Victoria. Lake Malawi famously harbors more endemic species than any other lake in the world (16, 17), and within Lake Tanganyika, ancient cichlid lineages radiated in parallel (18–22). The Lake Victoria cichlids and those of nearby rivers and lakes Albert, Edward, George, Kivu, and Kyoga, constitute a monophyletic species flock—the Lake Victoria region ‘superflock’ (LVRS)—of closely related species (23, 24). Estimates from geology date the lake between 400,000 (25) and 800,000 years old (26) whereas estimates from molecular evolution date the haplochromine flock as less than 200,000 (27) or even only 100,000 years old

(6). Thus, Lake Victoria is renowned for housing the fastest evolving large-scale adaptive radiation of vertebrates (12, 28, 29). The mitochondrial DNA lineages of this superflock are derived from Lake Kivu, suggesting that this relatively small, but deep and old, Rift Valley lake is the source of the present diversity of haplochromine cichlids in the Lake Victoria basin (6).

The morphological and genetic diversity of the LVRS is even more remarkable because paleolimnological data suggest a complete, or near complete, desiccation of the Lake Victoria basin between 18,000 and 15,000 years ago (25, 30, 31). If correct, the first scenario would imply that the extant haplochromine flock of 500 or more species must have evolved an order of magnitude faster than previously thought (ca. 15,000 rather than 100,000 or 200,000 years, or even longer). Alternatively, aquatic refugia could have persisted throughout the dry period and those surviving cichlids might have recolonized the re-emerging Lake Victoria (6, 28, 32) or Lake Victoria was reduced in size but did not dry out completely (6, 33, 34). Although recent mitochondrial genetic data support the latter hypothesis (5, 6, 28), geological data do not (25, 31). Consequently, the origin and age of the LVRS remain controversial (7, 35).

In this study, we used an extensive population genetic survey of haplochromine cichlids from the Lake Victoria region, along with current population genetic analytical approaches, to determine the history of the LVRS from their evolutionary origin, through the Pleistocene desiccation, up to today. We analyzed 12 microsatellite DNA loci from more than 400 cichlid specimens from Lake Victoria, Lake Kivu, and other biogeographically relevant lakes and rivers (Fig. 1). We characterized the historical and contemporary genetic diversity and demography of LVRS cichlids to test the hypotheses: (i) ancestral Lake Kivu or other waterbodies to the north or west founded the contemporary assemblage of haplochromines in Lake Victoria proper; (ii) the origin, or most recent common ancestor (MRCA), of the LVRS coincides with other dated haplochromine radiations; and (iii) the Lake Victoria species flock of haplochromines originated after the Pleistocene desiccation. We conclude that, in agreement with earlier studies based on mitochondrial DNA (6), Lake Victoria was colonized by Lake Kivu haplochromines. We infer that the MRCA of LVRS is old enough

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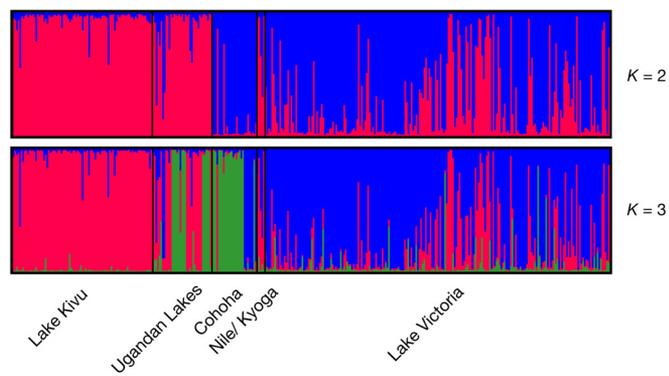
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**Fig. 3.** Population assignment test assuming 2 or 3 genetic clusters ( $K$ ) for all haplochromines from the LVRS. Each vertical bar represents 1 individual and its color is the proportion of its sampled genome resembling the prescribed genetic groupings. Specimens from 'Ugandan lakes' include the waterways of lakes Edward and George. When 2 genetic groups are assumed, Lake Kivu, 'Ugandan lakes', Nile/Kyoga, and a proportion of Lake Victoria individuals group together (red). Most Lake Victoria and Cohoha genomes form the second group (blue). Assuming 3 genetic groups, the 'Ugandan lakes' and Cohoha become a separate cluster (green), which is distinct from the Lake Victoria individuals. This demonstrates that Lake Victoria haplochromines are genetically most similar to those from Lake Kivu.

estimates are consistent among waterbodies. Thus, the origin of the vast haplochromine diversity in Lake Victoria far predates the presumed Pleistocene desiccation.

**Population Decline Caused by Desiccation.** Based on the coalescence analysis of demographic and genealogical histories, we detect a population decline ( $r < 1$ ) (38) in all 3 assemblages of the LVRS:  $NI$  [the number of chromosomes (a proxy for the effective population size) at some point in time  $tf$ ] was always found to be higher than  $N0$  (the effective number of chromosomes at the present time;  $\theta = 2N0\mu$ ) (Table 2 and Table S1). The effective population size in lakes Victoria and Kivu has dropped to 3%, and in the region to less than 2%, of its previous size (present size compared to previous size;  $r = N0/Nt$ ). The value  $ta$  corresponds to the time, in generations, from when the decline (or expansion) started. In the less than 100-m deep Lake Victoria, the decline of the haplochromine assemblage started approximately 18,000 years ago; that of the 650-m deep Lake Kivu approximately 15,000 years ago; and that of the species of the other lakes and rivers approximately 17,000 years ago. Therefore, none of these haplochromine populations has yet recovered its original allelic diversity since the dramatic desiccation events of the late Pleistocene.

**Contemporary Differentiation within the LVRS.** Populations and species from different waterbodies in the region have their own allelic signature (Fig. 2, see also Fig. S1 and Table S2). There is significant population differentiation between the metapopulations of Lake Victoria proper and Lake Kivu ( $F_{st} = 0.035$ ,  $P < 0.001$ ) and between all of the haplochromine groups from different, currently geographically isolated, waterbodies within the LVRS ( $F_{st} = 0.013$  to  $0.104$ ), except between Nile/Kyoga and

Lake Victoria (Table S2). However, there is only low to moderate genetic differentiation among Lake Kivu species ( $F_{st} = 0.008$  to  $0.065$ ) and almost no genetic differentiation among species within Lake Victoria ( $F_{st} < 0.031$ ) (Table S3). Our findings indicate that even a reasonable number of microsatellites is insufficient to distinguish among many LVRS species within a lake.

## Discussion

**The Geographic Origin of the Lake Victoria Haplochromines.** The origin and evolution of the enormously species-rich flock of haplochromine cichlid fishes of Lake Victoria has been hotly debated among evolutionary biologists. If the basin of Lake Victoria dried out completely approximately 18,000 to 15,000 years ago, the extant flock must have re-evolved since the basin refilled 15,000 years ago (25, 30, 31). Such a scenario is difficult to reconcile with the high number of endemic species in the lake (see, for example, 37 versus 34) and contrasts with previous genetic studies claiming that the LVRS is much older than the late Pleistocene desiccation (6, 27, 28, 32, 39, 40). The discrepancy between LVRS age estimates by population geneticists and paleolimnologists has been puzzling (31). Hence our objective was to provide data to the debate, which would allow us to describe the origin and demographic history of the LVRS in the context of the region's geology and paleoclimate.

The results of the present study confirm that Lake Kivu haplochromines acted as the source from which today's Lake Victoria basin haplochromines were colonized. The population origins inferred from nuclear genetic diversity and differentiation are highly similar to those based on previously published mtDNA (6). They confirm the clear, although recent, separation of the haplochromines of lakes Kivu and Victoria (Figs. 2 and 3, and Table S2 and reported  $F_{st}$  values). Specimens from the Cohoha area (west of Lake Victoria) are more closely related to the Lake Victoria haplochromines than to those of Lake Kivu ( $K = 2$ ). The 'Ugandan lakes' haplochromines (including those from Lake Edward and associated waterbodies) demonstrate a genetic signature most similar to Lake Kivu (at  $K = 2$ ) and the Cohoha region ( $K = 3$ ), but display very little allele sharing with Lake Victoria (Fig. 3). This suggests that Lake Victoria was not colonized from the Cohoha region or Lake Edward. Moreover, while the Lake Kivu genetic assemblage is well-defined, a considerable number of Lake Victoria specimens contain a large portion of alleles that are derived from the Lake Kivu gene pool. These lakes are not currently connected but they have been in the past (41). Our data suggest an introgression of Lake Kivu genes into the Lake Victoria basin gene pool, and not the reverse, reflecting the historical direction of migration. This is concordant with geological evidence about the direction of water flow in the mid to late Pleistocene (26, 42) and the subsequent isolation due to volcanic activity (41) and reduced water stands in the Holocene (42).

Equally significant is that, although Lake Kivu currently contains only 15 endemic haplochromine cichlid species (43), it contains much more interspecific genetic differentiation than the derived and younger (although larger and much more

**Table 1. Summary statistics and overall frequencies for the time to Most Recent Common Ancestor (MRCA) values for three haplochromine populations of the LVRS and one population of *A. burtoni* sampled in Kalambo River flowing into Lake Tanganyika**

Species	Mean MRCA	$\pm$ s.d	Median	0.025 Quantile	0.975 Quantile
Lake Victoria Haplochromines	3,045,000	1,375,000	2,975,000	2,268,000	3,896,000
Lake Victoria Region Haplochromines	4,667,000	2,248,000	4,797,000	3,196,000	6,281,000
Lake Kivu Haplochromines	3,980,000	2,060,000	4,083,000	2,538,000	5,221,000
<i>Astatotilapia burtoni</i> River	3,784,000	2,686,000	3,205,000	1,547,000	5,614,000



species and current markers and methods do not allow us to discern biological species within Lake Victoria although most species can be discerned in ancestral Lake Kivu (Table S3). Large numbers of single nucleotide polymorphisms may eventually distinguish species of the LVRS. The older cichlid adaptive radiations of lakes Tanganyika and Malawi generally contain more morphological and genetic variation than do the much younger haplochromines of Lake Victoria (60). The extensive sharing of genetic variation across LVRS species' boundaries has been attributed to: the flock's young age and the retention of ancestral polymorphism (61, 62); on-going, low levels of gene flow between species (62, 63); and/or an assemblage that may, at least in part, be due to hybridization (49). Thus, although the Lake Victoria haplochromine cichlids remain spectacular in their species richness, breadth of distinct ecological roles, and complex evolutionary history, they have not evolved in situ since the late Pleistocene.

## Materials and Methods

**Species and DNA Methods.** This study is based on 372 haplochromine specimens from Lake Victoria, Lake Kivu, and from the lakes and rivers of the Lake Victoria region (Fig. 1 and Table S4). We also included specimens of the Tanganyika species *Lobochilotes labiatus* and *Perissodus microlepis*, of the Malawi species *Maylandia* sp., and of *Astatotilapia burtoni* (Table S4), which inhabits East African rivers as well as Lake Victoria and is phylogenetically basal. Specimen details were previously reported (6, 36, 60). We genotyped 12 nuclear DNA microsatellite loci for all specimens: OSU20D (64), TMOM11, TMOM27, TMOM5, TMOM7 (65), UNHOO1, UNHOO2 (66), ABUR25, ABUR30, ABUR162, ABUR165, and ABUR94 (67). PCR amplifications were performed according to standard protocols. The microsatellite markers were analyzed on an ABI3100 (Applied Biosystems) and scored with GENESCAN and GENOTYPER software (Applied Biosystems). These loci have been used in previous population genetic studies (e.g., 64–67) and are considered reflective of neutral patterns of evolution.

**Population Genetics Analyses.** Genetic differentiation between populations was measured with Wright's  $F_{st}$ -statistics ( $F_{st}$ ) (68) based on allele frequencies and calculated in ARLEQUIN 2.01 (69). First, we determined  $F_{st}$  between the main geographically defined lineages of the LVRS. Second, we calculated genetic differentiation within each species assemblage and between those species for which sufficient numbers of individuals were available. Third, we calculated  $F_{st}$  between regional waterbodies.

The evolutionary relationship between species/species assemblages was visualized by a phylogenetic neighbor-joining tree inferred using MEGA ver. 3.1 (70) and the robustness of the branching pattern was assessed by 1,000 bootstrap replicates. Population assignment tests were conducted in STRUCTURE 2.1 (71), which is a Bayesian model-based clustering method for inferring genetic structure. STRUCTURE assumes a certain number of populations ( $K$ , where this  $K$  may be unknown), each characterized by a set of multilocus allele frequencies. Individuals are assigned probabilistically to a population, or jointly to 2 or more populations if individuals are admixed, based on maximizing equilibrium frequencies of Hardy-Weinberg and linkage (71, 72). This approach was adopted for 2 hierarchical purposes. 1) Phylogenetic context: we analyzed specimens from lakes Tanganyika (*L. labiatus*  $n = 32$  and *P. microlepis*  $n = 29$ ) and Malawi (*Maylandia* sp.  $n = 31$ ), riverine *A. burtoni* ( $n = 31$ ), and 32 haplochromines randomly selected from lakes Victoria and Kivu, as well as the surrounding Lake Victoria region ( $n = 33$ , including Cohoha, Nile/Kyoga, 'Ugandan lakes'). 2) Intrabasin clustering: we analyzed the LVRS (Lake Kivu  $n = 87$ , 'Ugandan lakes'  $n = 38$ , Cohoha  $n = 26$ , Nile/Kyoga  $n = 6$ , Lake Victoria  $n = 215$ ) by assigning individuals to 2 or 3 genetic clusters. In both analyses, we applied the admixture model, which allows for mixed genome ancestry. Markov Chain Monte Carlo (MCMC) simulations were run with 200,000 replicates and a burn-in of 50,000.

We inferred the most probable demographic and genealogical histories based on a sample of chromosomes typed at 1 or more loci using Msvar 0.4 (38). This approach assumes a stepwise mutation model and estimates the posterior probability distributions of the genealogical and demographic parameters by MCMC simulations. The estimated parameters are scaled in terms of current population size and 2 main demographic parameters are quantified: (i)  $tf$ , which is a measure of time in generations ( $= t_f/N_0$ ), and (ii)  $r$ , which is defined as  $N_0/N_t$ , where  $N_0$  is the effective number of chromosomes ( $2Ne$ ), and  $N_t$  is the number of chromosomes at some previous point in time  $t_f$ . For a declining population  $r < 1$ , for a stable population  $r = 1$ , and for an expanding population  $r > 1$ . This procedure also estimates  $\theta$ , which is defined as  $2N_0\mu$ , where  $\mu$  is the mutation rate. We assumed a generation time of 1 year (6, 73) and a mutation rate of  $\mu = 5 \times 10^{-4}$  (74 and similar to 62). MCMC was run for 20,000 replicates for each population.

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